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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark Ammar Rayes, et al.

Serial No.: 10/797,773

Filed on: March 9, 2004

) Confirmation No.: 4164
)
) Examiner: NYA
)
) Group Art Unit No.: 2131
)

For: ISOLATION APPROACH FOR NETWORK USERS ASSOCIATED WITH
ELEVATED RISK

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X 37 C.F.R. §1.97(b).
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 A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

_____ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.


- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: December 3, 2004


CHRISTOPHER J. PALERMO
Reg. No. 42,056

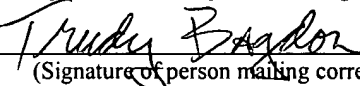
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Trudy Bagdon

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
50325-0865

SERIAL NO.
10/797,773

APPLICANT:
Mark Ammar Rayes, et al.

FILING DATE:
March 9, 2004

GROUP:
2131



U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		5,787,161		Rayes, et al.	07-28-1998	
		5,933,645		Wallack	08-03-1999	
		6,038,322		Harkins	03-14-2000	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code ² (If known)			
		6,324,656	B1	Gleichenauf, et al.	11-27-2001	
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		Number	Kind Code ² (If known)				
		6,477,651	B1	Teal	11-05-2002		
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		Number	Kind Code ² (If known)			
		6,567,917	B1	Ziese	05-20-2003	
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		Number	Kind Code ² (If known)			
		6,651,096	B1	Gai, et al.	11-18-2003	
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50325-0865	Application Number: 10/797,773
		First Named Inventor: Mark Ammar Rayes	
		Filing Date: March 9, 2004	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Cisco Systems, Inc., "Release Notes for Cisco Content Routing Software, Release 1.1," 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm , data retrieved 2/11/04, pp.1-19.	
		Cisco Systems, Inc., "IP Source Tracker," 2003, http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm , data retrieved 2/11/04, pp. 1-15.	
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		Cisco Systems, Inc., "Cisco IOS Software Release 12.3(4)T: New Security Features and Hardware," 1992-2003, http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm , data retrieved 2/11/04, pp. 1-11.	
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		Cisco Systems, Inc., "Release Notes for Cisco Cache Engine 500 Series, Software Version 2.1.0," 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/webscale/webcache/ce21/rn/ce21.htm , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "SAFE Nimda Attack Mitigation," 1992-2003, http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm , data retrieved 2/11/04, pp. 1-6.	
		Cisco Systems, Inc., "Cisco IOS Software Release 11.3 New Features," 1992-2002, http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm , data retrieved 2/11/04, pp. 1-45.	
		Cisco Systems, Inc., "Monitoring the System," Chapter 2, Cisco ICS 7750 Administration and Troubleshooting Guide, 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm , data retrieved 2/11/04, pp. 2-1 – 2-28.	

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Cisco Systems, Inc., "Monitoring," Chapter 13, VPN 3002 Hardware Client User Guide, 1989-2000, http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm , data retrieved 2/11/04, pp. 13-1 – 13-47.	
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		Cisco Systems, Inc., "Cisco Security Advisory: TCP Loopback DoS Attack (land.c) and Cisco Devices," 1992-2003, http://www.cisco.com/warp/public/770/land-pub.shtml , data retrieved 2/11/04, pp. 1-9.	
		Cisco Systems, Inc., "Network Security Policy: Best Practices White Paper," 1992-2003, http://www.cisco.com/warp/public/126/secpol.html , data retrieved 2/11/04, pp. 1-7.	
		Cisco Systems, Inc., "Protecting Your Core: Infrastructure Protection Access Control Lists," 1992-2003, 10 pages.	
		Cisco Systems, Inc., "Configuring Denial of Service Protection," Chapter 24, Catalyst 6500 Series Switch Cisco IOS Software Configuration Guide – Release 12.1E Guide, pp. 24-1 – 24-8.	
		Cisco Systems, Inc., "Cisco Catalyst 4500 Series Supervisor Engine 11-Plus", Data Sheet, 2004, pp. 1-15.	
		Groudan, Adam, Cisco Systems, Inc., "The Cisco Self Defending Network: Campus – Layer 2 Security & Network Admission Control", slide presentation, pp. 1-39.	

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